The Research Excellence Fund (REF) in the College is a new initiative put forth by Dean Carl Lejuez that is designed to support the research and creative works of our faculty, staff and students. Notably, the REF is built on new private funds, raised by the dean and the College Alumni Advisory Board. Therefore, the precious, limited resources from the College budget were not used for this fund. We greatly appreciate the support from private donors and stakeholders who have made this fund possible and invested in the future of the College. We look forward to what can be accomplished as a community of artists, scholars and scientists with these new investments in our work.

The REF fits well within the strategic priority of the College to increase our support for research and enhance our research profile. The REF will also support one of our other strategic priorities focused on spear-heading the involvement of students in research and creative works conducted across the full range of the arts, liberal arts, and sciences. We believe the latter is crucial to prepare the next generation of scholars for their future careers during their time at KU.

Towards this end, the REF will provide internal funding to support the research and creative works of our faculty, research staff and postdoctoral fellows, along with their undergraduate and graduate students. Proposals will be based on the articulation of research objectives, and must also emphasize how this work will impact student engagement, learning and networking. In cases where there is active participation of undergraduate students in research with the need for additional resources, we will provide supplemental funding to support this important endeavor.

We are very excited to offer this initiative, as we look forward to supporting the amazing contributions that our College faculty, staff and students are making in their scholarly pursuits. We also appreciate the great benefits that our students receive when they work directly with faculty and staff in developing core liberal arts and sciences skills as well as gaining career readiness abilities including critical thinking, communication and project management.

We look forward to watching how these new funds transform the research enterprise in the College as we work together to advance our future scholars and leaders.

JOY K. WARD  
Interim Associate Dean of Research in the Natural and Social Sciences

TAMARA FALICOV  
Interim Associate Dean for Research in the Arts and Humanities
The Research Excellence Fund in the College  
Description of Funds  
2017-2018

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I. OVERVIEW: RESEARCH EXCELLENCE FUNDS

Summary of Fund Information and Application Materials Needed

*Key for “Who can apply” in the table below: A) T-TT: Tenured/Tenure-Track faculty; B) Staff: Instructional and/or Research staff, including post-doctoral fellows; C) College: Individuals appointed >= .25 in the College; and D) Campus: Individuals at KU without appointment > .25 in the College; E) PI: Principal Investigator (lead); F) Co-I: Co-Investigator/collaborators

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<tr>
<td>CR1</td>
<td>PI: College TT-T; Co-I: College and/or Campus TT-T and/or Staff</td>
<td>1</td>
<td>$25-30K</td>
<td>11/3/2017</td>
<td>Supports transdisciplinary teams to develop ideas, conduct research, and seed large-scale, collaborative projects. The outcomes of these awards must include an application for external research funding (e.g., grant, fellowship)</td>
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<td><strong>Collaborative Research</strong> (smaller projects)</td>
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<tr>
<td>CR2</td>
<td></td>
<td>1</td>
<td>$15-18K</td>
<td>11/3/2017</td>
<td>Yes</td>
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<td><strong>B. Discipline-Specific Research</strong></td>
<td>DSR</td>
<td>PI: College TT-T; Co-I: College and/or Campus TT-T and/or Staff</td>
<td>3</td>
<td>$5-6K; $8-9K; $12-14.4K</td>
<td>11/3/2017</td>
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<td><strong>C. Time-Sensitive and Urgency Fund</strong></td>
<td>TSU</td>
<td>PI: College TT-T or Staff</td>
<td>TBD</td>
<td>$0.5-10K and Rolling</td>
<td>11/3/2017</td>
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<td><strong>D. Fill-In the Gap Fund</strong></td>
<td>FIG</td>
<td>PI: College TT-T or Staff</td>
<td>TBD</td>
<td>$0.5-5K</td>
<td>11/3/2017</td>
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<td><strong>E. Course Release Fund</strong></td>
<td>COR</td>
<td>PI: College TT-T</td>
<td>4</td>
<td>1 course</td>
<td>11/3/2017 and 3/16/2018</td>
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<td><strong>F. Faculty Writing Incentive Fund</strong></td>
<td>FWI</td>
<td>PI: College TT-T</td>
<td>10</td>
<td>$2K</td>
<td>3/16/2018</td>
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<td>Who can apply?</td>
<td>Max # of Awards</td>
<td>Funding Range</td>
<td>Deadline</td>
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<td>G. Grant or Manuscript Pre-Review Fund</td>
<td>PRE</td>
<td>PI: College TT-T</td>
<td>10</td>
<td>$750</td>
<td>11/3/2017 and rolling</td>
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<tr>
<td>H. Graduate Student and Post-Doctoral Writing Incentive Fund</td>
<td>GWI</td>
<td>PI: Masters students</td>
<td>3</td>
<td>$1-1.2K (plus $500 to advisor)</td>
<td>11/3/2017</td>
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<tr>
<td>Graduate Student and Post-Doctoral Writing Incentive Fund</td>
<td>GWI</td>
<td>PI: Ph.D. students</td>
<td>7</td>
<td>$2-2.4K (plus $500 to advisor)</td>
<td>11/3/2017</td>
</tr>
<tr>
<td>Graduate Student and Post-Doctoral Writing Incentive Fund</td>
<td>GWI</td>
<td>PI: Post-doctoral researchers</td>
<td>4</td>
<td>$2-2.4K (plus $500 to advisor)</td>
<td>11/3/2017</td>
</tr>
<tr>
<td>I. Research Staff Writing Incentive Fund</td>
<td>SWI</td>
<td>PI: College Staff</td>
<td>3</td>
<td>$1.5K</td>
<td>11/3/2017</td>
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<td>J. Conference/Meeting/Exhibit Sponsorship Fund – Track 1</td>
<td>CME</td>
<td>PI: College TT or Staff</td>
<td>5</td>
<td>$0.5-1K</td>
<td>11/3/2017 and rolling</td>
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<tr>
<td>Conference/Meeting/Exhibit Sponsorship Fund – Track 2</td>
<td>CME</td>
<td>PI: College TT or Staff</td>
<td>1</td>
<td>$2K</td>
<td>11/3/2017</td>
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<td>K. Dean’s Award for Exceptional Student Mentoring</td>
<td>ESM</td>
<td>PI: College TT</td>
<td>2</td>
<td>Course release and $1K</td>
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<td>GPT</td>
<td>PI: College postdoc or grad student</td>
<td>15</td>
<td>$500</td>
<td>11/3/2017 and rolling</td>
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<td>Funding Categories</td>
<td>Who can apply?</td>
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<td>Funding Range</td>
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<td>M. WP4KU – Women Philanthropists for KU Fund</td>
<td>WP4</td>
<td>Female undergrads in STEM</td>
<td>4</td>
<td>$1,000</td>
<td>11/3/2017 Provides support to undergraduate women in STEM to attend national/regional meeting or conferences</td>
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<td>N. Child Language Doctoral Program Fund</td>
<td>CLD</td>
<td>PI: TT-T in CLDP</td>
<td>1</td>
<td>$4.5K</td>
<td>11/3/2017 Use of funds is restricted to Child Language Doctoral Program (CLDP) and affiliated faculty for research, student, and travel expenses (Sponsored by Mabel L. Rice)</td>
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<tr>
<td>Child Language Doctoral Program Fund</td>
<td>CLD</td>
<td>PI: Graduate student in CLDP</td>
<td>1</td>
<td>$4.5K</td>
<td>11/3/2017</td>
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<tr>
<td>Child Language Doctoral Program Fund</td>
<td>CLD</td>
<td>PI: TT-T in CLDP</td>
<td>1</td>
<td>$1K</td>
<td>11/3/2017</td>
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<td>O. Humanities Tech Think-Tank Fund</td>
<td>HT³</td>
<td>PI: College TT-T or Staff conducting research in Humanities</td>
<td>7</td>
<td>$1K, $1.5K for chair</td>
<td>11/3/2017 This fund will support the convening of a seven-member think tank at KU that will advise the start-up company, AstrumU, on how to develop technology and use big data to best support student career pathways in the humanities.</td>
</tr>
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*Note: While collaborators must be included in collaborative projects (CR1, CR2) and are likely to be included in many discipline-specific projects (DSR), they may also be included in other award categories if relevant to the project. For these other award categories, funding from the Research Excellence Fund may not be allocated to salary for individuals with a primary appointment outside of the College (although funds from other sources can be used to support these costs and can be listed in the budget as matching support).*
II. Timeline and Submission Information

Application call: September 26, 2017
Application due date: November 3, 2017 (or as specified within each fund)
Panel committee meeting: First week of December (for 11/3/2017 deadlines)
Award notification date: December 8, 2017 - approximately (for 11/3/2017 deadlines)
Award start date: January 16, 2018 (for 11/3/2017 deadlines)
First progress report due: October 19, 2018 (for 11/3/2017 deadlines)
Award end date: January 15, 2019 (for 11/3/2017 deadlines)
Second progress report due: October 1, 2019 (for 11/3/2017 deadlines)

NOTE: The above dates are firm deadlines and we cannot accept late or incomplete applications (unless a given category is noted as having a different or rolling deadline). You will receive notification when your application is received. Please consult your chair before applying, as she/he may have internal deadlines for proposal submissions that may differ from those above.

Funding dates and progress report deadlines for other due dates (as indicated in specific funds) will be announced as they become relevant.

An electronic version of the completed application (preferably as one PDF file or multiple files if necessary) is due to the College Dean’s Office at the following email address college_ref@ku.edu by 5 pm on November 3, 2017 (or as noted otherwise within each fund).

Please use the following format for the email subject line and file(s) name:
REF2017-18_lastname_fundtype
Example: REF2017-18_Curie_FWI.pdf
REF2017-18_Curie_FWI.1.pdf (if multiple files, consecutively number them)

NOTE: Faculty members may use this fund as a means to leverage matching funds from other units on campus and from external funding agencies and foundations. These funds can also be used in conjunction with the General Research Fund (GRF) and New Faculty GRF awards as relevant.

You may apply as PI for multiple awards, but each must have its own application. An individual can only be granted one award as PI within a funding year, with the exception of the Grant or Manuscript Pre-Review Fund (PRE) that may be granted along with other awards. In the application form, please rank your preference for the order of funds in which you would like to receive consideration, and we will do our best to accommodate this ranking in our final decisions.

Please be sure that your completed application includes all necessary materials described in the individual descriptions under Application Requirements, including any Special Requirements (additional information, required letters, etc.).

Two attachments accompany this description of the Research Excellence Fund in the College:
1. Application Form that includes: (Word Template)
   • Application Part I
   • Application Part II (not all funds require this information—see Overview on pages 2-4)
   • Appendix A: Approvals for University Regulations for Research Projects (if relevant)
2. Budget Worksheet/Form (Excel File) with a Budget Description in Word (1 page maximum) for some funds as designated
III. Funding Categories

Below we outline the various funding categories available across the full range of the arts, liberal arts, and sciences. Before outlining each specific category, we review the two broad types of funds that each category fit within: a) College-wide Fund and b) Designated and Special Purpose Funds. Together, these two types of funds provide a unique portfolio of opportunities for enhancing the research activities of the College in innovative and productive ways.

College-wide Funds:

College-wide REF funds are designed to support College-based research activities across the full range of the arts, liberal arts, and sciences and include faculty, staff, and students in the College and potential collaborators across campus and at national/international levels. Within the outlined categories, the individuals applying have a high degree of latitude for the direction and approaches used in the research activities, and this is often referred to as investigator-initiated projects. Through this initiative, funds can be requested for (a) seed grants for transdisciplinary and discipline-specific research, (b) time-sensitive and urgent funding for targeted research, (c) summer salary and course buy-out funds to facilitate applications for external funding, (d) honoraria for expert reviewers to increase the success of proposals and manuscripts in the review process, (e) incentive funds for graduate students, post-doctoral researchers, and research staff to write external funding applications, and (f) conference sponsorship funds. We also include an honorary award for exceptional student mentoring for faculty who have had a major impact on the success of our undergraduate and/or graduate students in the College. We expect that as this initiative shows its value, it will grow and expand into other important funding streams to support research and the student experience in the College.

Designated and Special Purpose Funds

These funds are made possible by contributions from donors who have selected targeted areas that they would like to support, and therefore these funds are variable in nature and are subject to change from year-to-year (both in type and award amounts). These awards may come with very specific goals and an outline of work requested and therefore may differ from the typical latitude for investigators that is present in other funding opportunities.
A. Collaborative Research: Large Projects (CR1) / Smaller Projects (CR2)

**Goal:** To promote high impact research that is transdisciplinary in nature and that enables synergies across the College and beyond

**Application Due Date:** 11/3/2017

**Fund Description:** Funds will support transdisciplinary teams to develop ideas, conduct research, and seed large-scale projects. The outcomes of these awards **must** include one or more significant research or creative product(s). These include an application for **external** research funding (GRF grants are not considered external) for a research group or institutional effort (e.g., center grant, institutional training grant), a highly innovative teaching approach, a significant scholarly product (e.g., a major book), the development of a long-term research instrument or large-scale data set that supports interdisciplinary efforts, and/or implementation of a transformative program at KU or in the community. Applications must state expected outcomes and products from this funding.

**Student Engagement:** Student participation is required and must be described in the Project Description. This may including undergraduate and/or graduate student participation in the process of conducting research, contributing to a creative work, journal article, book, and/or participation in the development of a teaching strategy or other areas as relevant to the proposed activities. In cases where there is active involvement of **undergraduate students** with a need for additional support, we will provide supplemental funding at levels up to 20% higher than articulated in the categories below for funded applications (e.g., a maximum award of $25,000 would be increased to $30,000 with full undergraduate support).

**Specifics:** Collaborative Research (CR1, CR2)

- **Maximum Number of Awards:** 2 (one per funding range indicated below)
- **Award Range:** $25,000 (larger project); $15,000 (smaller project); plus an optional 20% increase to categories above with undergraduate participation (or less depending on need).

**Eligibility Requirements:** The principal investigator (PI) must be tenured or tenure-track in the College; Co-Investigators may be tenured or tenure track faculty or staff in or outside of the College. This fund also allows for co-Investigators/collaborators from outside of KU.

**Application Requirements:**

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI and major collaborators (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects (if relevant)

**Special Requirements:** Proposals must include at least two faculty members (tenured/tenure-track) and at least two different KU departments, with the lead PI being in the College (list all involved in the Application Form). Collaborators from other schools at KU will be viewed positively and several deans from the professional schools have indicated that they will offer investigators a small amount of matching funds (e.g., $2.5k – $10k) to supplement this award. If matching funds are acquired in advance, please indicate that in the project description. In rare cases, we will consider collaborations between faculty members in the same department if a very strong case can be made for the interdisciplinary benefits of this interaction. In addition to the minimum of two faculty members, additional co-investigators/collaborators may be included from outside of KU (national and international), especially when this serves to strengthen the quality of the research. If additional funds are requested for undergraduate support (see Award Range above), please state how these funds will be used and how they will benefit the undergraduate students involved in the Project Description and the Budget Justification.
B. Discipline-Specific Research (DSR)

**Goal:** To “seed” future external funding applications by supporting research projects and creative works that advance a specific discipline (or related disciplines) within a defined set of aims and approaches

**Application Due Date:** 11/3/2017

**Fund Description:** Funds will support a lead PI (with collaborators if appropriate) to apply new approaches to an existing project or to generate new data or creative works to enhance the potential for future funding. Awards can be used to support an unfunded project or a fully funded project that could be strengthened with additional resources (but see Special Requirements).

**Student Engagement:** Student participation is required and must be described in the Project Description. This may involve inclusion of undergraduate and/or graduate students in the process of conducting research and/or contributing to creative works or other areas as relevant to the proposed activities. In cases where there is active involvement of undergraduate students with a need for additional support, we will provide supplemental funding at levels up to 20% higher than articulated below for funded applications (e.g., a maximum award of $12,000 would be increased to $14,400 with undergraduate participation).

**Specifics:** Discipline-Specific Research (DSR)

- **Maximum Number of Awards:** 3
- **Award Range:** 1 award of $5,000; 1 award of $8,000, and 1 award of $12,000; plus an optional 20% increase to categories above with undergraduate participation (or less depending on need).
- **Eligibility Requirements:** The principal investigator (PI) must be tenured or tenure-track in the College; Co-Investigators may be tenured or tenure track faculty or research/instructional staff in or outside of the College.
- **Application Requirements:**
  - Application Form Part I
  - Application Form Part II
  - Curriculum Vitae of the PI and optional for collaborators (maximum 4 pages)
  - Budget plus Budget Justification
  - Appendix A: Approvals for University Regulations for Research Projects (if relevant)

- **Special Requirements:** All applicants must state how this seed funding increases the likelihood of external funding and scholarly products in the Project Description. In cases where an applicant is requesting additional funds for an already funded project, the applicant must differentiate the aims of that project from this new seed funding. If additional funds are requested for undergraduate support (as designated above), please state how these funds will be used and how they will benefit the undergraduate students involved in the Project Description and the Budget Justification.
C. Time-Sensitive and Urgency Fund (TSU)

Goal: To support research and creative works that are opportune in nature or that require immediate funding in order to create or sustain a research activity or body of work

Application Due Date: 11/3/2017 and rolling basis as needs arise and as funds remain available (contact one of the Research Associate Deans in the College as needs arise after the 11/3/17 deadline).

Fund Description: Support will be granted based on a critical need for rapid and targeted funds. We anticipate that these requests will vary widely by discipline and by situation. Examples include but are not limited to:

a. Investigators who narrowly missed having an external proposal funded that require additional data for resubmittal in the next round;
b. Reprint or permission fees associated with publication costs in cases where the One University Open Access Author Fund (http://library.kumc.edu/oa-fund-home.xml) was unavailable or not an option or grant funds were unavailable;
c. Costs associated with exhibition and/or performance of a creative work(s);
d. Proofreading and indexing of a book manuscript;
e. Funding for an unexpected shortfall in an existing grant;
f. Funding a time-sensitive research opportunity; or
g. Maintaining the employment of an excellent staff researcher to allow more time to gain funding to maintain their employment

Student Engagement: Applicants must articulate how this funding will have a positive impact on students. We envision many possible scenarios for student participation and training due to the diverse nature of anticipated requests.

Specifics: Time-Sensitive and Urgency Fund

Maximum Number of Awards: Dean’s discretion based on availability of funds

Award Range: $500-$10,000 depending on the project and its scope (these funding levels include student participation costs); a specific budget is required

Eligibility Requirements: The principal investigator (PI) must be tenured or tenure-track faculty or research/instructional staff in the College.

Application Requirements:

• Application Form Part I
• Application Form Part II
• Curriculum Vitae of the PI (maximum 4 pages)
• Budget plus Budget Justification
• Appendix A: Approvals for University Regulations for Research Projects (if relevant)
• Special Requirements: The impact and urgency of the need for funds must be clearly articulated in the Project Description, along with an exact budget amount in the request. Applications for these funds can be considered outside of the normal timeline. In this case, it is recommended that applicants contact one of the two associate deans for research to confirm availability of funds.
D. Fill-In the Gap Fund (FIG)

Goal: To support researchers who have an externally funded grant that does not allow (or strongly discourages) expenditures that are crucial to conduct the research and/or that will substantially enhance the research or creative works.

Application Due Date: 11/3/2017

Fund Description: Support will be granted to researchers who have already acquired a grant or fellowship but are in need of additional items not covered by the original funding. As an example, this may involve the need for a piece of equipment or supplies that are not covered directly by the original grant.

Student Engagement: Applicants must articulate how this funding will have a positive impact on students. We envision many possible scenarios for student involvement and training due to the diverse nature of anticipated requests.

Specifics: Fill-In the Gap Fund (FIG)

Maximum Number of Awards: Dean’s discretion based on availability of funds

Award Range: $500-$5,000 depending on the project and its scope (these funding levels include student participation costs); a specific budget is required

Eligibility Requirements: The principal investigator (PI) must be tenured or tenure-track faculty or research/instructional staff in the College.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae or resume of the PI (maximum 4 pages)
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects (if relevant)

Special Requirements: The applicant must document the need for the request and show clear evidence that it is unable to be funded through a current support mechanism in the Project Description.
E. Course Release Fund (COR)

**Goal:** To provide faculty with targeted course release time (1 course) for increasing research productivity, gaining time to be competitive for a grant renewal, developing a major teaching instrument or innovation, and/or preparing a major proposal for external funding

**Application Due Date:** 11/3/2017 (2 awards for course release during fall of 2018); 3/16/2018 (2 awards for course release during spring of 2019). A call for the deadline will be re-sent as a reminder at the beginning of the each semester.

**Fund Description:** The applicant must articulate how this course release will increase the chances of receiving a grant renewal, producing or contributing to a major teaching innovation, or supporting the development of a proposal for external funding that is beyond normal expectations (e.g., multi-disciplinary proposals, training grants, arts grants). Funding is granted directly to the faculty member’s home department(s) to cover the cost of hiring a lecturer to cover the course (with chair approval). Past productivity in submitting and receiving grants/fellowships will be advantageous in the review process and/or clear evidence that this time is needed to gain a renewal of a current external award.

**NOTE:** Pre-tenure faculty are encouraged to apply for the Research-Intensive Semester (RIS) before considering this fund (http://policy.ku.edu/CLAS/research-intensive-semester). Further, in consultation with the chair, the applicant should request this support during the semester when their teaching responsibilities are the lightest and when it will have the least impact on the department. The course release must also be arranged with ample time to prevent course cancelations after students have enrolled.

**Student Engagement:** In the Project Description, applicants must articulate how this course release will positively impact students. Examples may include the effects of a future training grant on the career development of students or how time for a course re-design will impact student learning, or how more research time will benefit additional students with hands-on experience.

**Specifics:** Course-Release Fund (COR)

- **Maximum Number of Awards:** 4 (maximum of 1 per department/year)
- **Maximum Amount Per Award:** 1 course
- **Eligibility:** The applicant must be tenured or tenure-track faculty in the College

**Application Requirements:**

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI (maximum 4 pages)

- **Special Requirements:** In the Project Description, clearly articulate the impact that this release time will have on research and/or instruction. The department chair must approve the course release as designated by her/his signature on the application form. Funds released to the department will be used to secure a comparable instructor and may not be used for other unit budget items. The course release must occur during the Fall of 2018 for the 11/3/2017 application deadline or Spring of 2019 for the 4/13/2018 application deadline.
F. Faculty Writing Incentive Fund (FWI)

Goal: To support faculty during the summer to focus on writing external grant proposals and/or fellowship applications

Application Due Date: 3/16/2018 (a call for this category will be re-sent at the start of the spring semester as a reminder)

Fund Description: Funds will be granted for summer salary for faculty members (Summer 2018) and for paid reviews for their grant or fellowship applications for external funding. The award will total $2,000 where at least $500 will be used as an honorarium for a peer review of the grant/fellowship prior to submission; the remainder will go to research funds or summer salary for individuals selected for this funding. The peer reviewer may be from inside or outside of KU. Applicants are encouraged to find expert reviewers with experience being on grant/fellowship review panels. The expectation is that the peer reviewer will offer a thorough critique to strengthen the grant proposal or fellowship application with ample time to incorporate feedback prior to submission.

Student Engagement: In the Project Description, applicants must articulate how the proposed grant or fellowship will have a positive impact on students if funded.

Specifics: Faculty Writing Incentive Fund (FWI)

Maximum Number of Awards: 10

Award Amount: $2,000 ($500 for the reviewer and $1,500 for summer salary or research support for the faculty applicant)

Eligibility Requirements: The applicant must be a tenure or tenure-track faculty member in the College.

Application Requirements:

- Application Form Part I
- Application Form Part II
- Curriculum Vitae of the PI (maximum 4 pages) and CV of the reviewer
- Budget plus Budget Justification

Special Requirements: The faculty member must provide the name, agency, due date, grant amount, and other information to identify the grant/fellowship to be submitted, along with the contact information of the peer reviewer and their curriculum vitae (if from outside of KU) in the Project Description. The applicant must arrange for the reviews to be completed in a timely manner to ensure that comments can be incorporated into the final grant or fellowship application. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean’s Office and a copy of the reviews from the peer reviewer (these will never be used in judgement of faculty members, but only to confirm completion of the duties of the peer reviewer).
G. Grant or Manuscript Pre-Review Fund (PRE)

**Goal:** To allow faculty members who are close to publishing their research or submitting a grant proposal to have it reviewed by an outside expert

**Application Due Date:** 11/3/2017 and rolling basis as needs arise and as funds remain available (contact one of the Research Associate Deans in the College after the 11/3/17 deadline).

**Fund Description:** An honorarium will be paid to an expert reviewer by the College as an incentive for providing constructive and comprehensive feedback in a timely manner on a soon to be submitted grant, fellowship application, or manuscript/book. The peer reviewer should be from outside of KU, although exceptions may be made if a leading expert in the field is local.

**NOTE:** Applicants are encouraged to also consider the Proposal Evaluation and External Review (PEER) fund (https://research.ku.edu/proposal-evaluation-and-external-review-peer) for gaining an expert review, or to use that fund for a second review option.

**Student Engagement:** In the Project Summary, applicants must articulate how the proposed funding would have a positive impact on students if received or how a manuscript will influence student learning, and/or how students or post-doctoral researchers will be trained on professional writing during preparation of the external grant/fellowship application.

**Specifics:** Grant or Manuscript Pre-Review Fund (PRE)

- **Maximum Number of Awards:** 10
- **Maximum Amount per award:** $750
- **Eligibility:** The applicant must be a tenured or tenured-track faculty member in the College. Highest priority will be given to pre-tenure faculty, followed by associate professors, and then full professors.

**Application Requirements:** (applications for these funds can be considered outside the normal timeline)

- Application Form Part I (Part II is not required for this fund)
- Curriculum Vitae of the PI (maximum 4 pages) and CV of the reviewer
- Budget

**Special Requirements:** To be eligible, the applicant must not have used funds from the Proposal Writing Incentive Fund for this grant or manuscript product. The faculty member must provide the name, agency, due date, grant amount and other details to identify the grant/fellowship to be submitted, or a brief description of the manuscript, along with the contact information and curriculum vitae of the expert reviewer. The applicant must arrange for the reviews to be completed in a timely manner to ensure that comments can be incorporated into the final grant or fellowship application. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean’s Office and a copy of the reviews from the expert reviewer (these will never be used in judgement of the faculty, but only to confirm completion of the duties of the peer reviewer).

**NOTE:** In partnership with the Hall Center for the Humanities, applicants who invite senior faculty in the Humanities to review grant proposals are eligible to apply for a Hall Center faculty development grant. This grant allows applicants to bring the reviewer to campus to workshop their book and to present their work to faculty and students. Applicants interested in this support should note that in their submission email in order for their application to be forwarded to the Hall Center.
H. Graduate Student and Post-Doctoral Writing Incentive Fund (GWI)

**Goal:** To support and reward graduate students and post-doctoral researchers who apply for external grants and fellowships to support their research endeavors

**Application Due Date:** 11/3/2017

**Fund Description:** The graduate student or postdoctoral researcher must be applying for a grant or fellowship totaling at least $5,000 of support, and the research activities must be in direct support of the student’s/postdoc’s research. The applicant must also be mentored and supported by their faculty advisor while writing the grant/fellowship application and while conducting the research if subsequently funded. Each funded grant or fellowship will include an additional $500 research fund for the faculty advisor and/or supervisor.

**Student Engagement:** This fund serves to benefit graduate students and post-doctoral researchers by rewarding them for submission of external grant and fellowship applications that will directly support and benefit their research.

**Specifics:** Graduate Student and Post-Doctoral Writing Incentive Fund (GWI)

*Maximum Number of Awards:* 14 (3 for Masters students; 7 for Ph.D. students; 4 for postdoctoral researchers)

*Maximum Amount per award:* $1,000 summer salary or $1,200 research funds for Masters students; $2,000 summer salary or $2,400 research funds for Ph.D. students and post-doctoral researchers; $500 to the faculty advisor as research funds to help with grant/fellowship preparation for all awards.

*Eligibility:* KU graduate students and postdoctoral researchers (with faculty advisor support).

**Application Requirements:**
- Application Form Part I (Part II is not required for this fund)
- Curriculum vitae or resume of PI (maximum 4 pages)
- Budget plus Budget Justification
- **Special Requirements:** The graduate advisor(s) or post-doctoral supervisor must designate by her/his signature on the application form that she/he will support the applicant in preparing the grant or fellowship and will advise on the research if the grant/fellowship is subsequently funded. The graduate student or postdoctoral researcher must provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean’s Office.
I. Research Staff Writing Incentive Fund (SWI)

**Goal:** To support and reward research/instructional staff who apply for external grants and fellowships to support their research endeavors

**Application Due Date:** 11/3/2017

**Fund Description:** The staff researcher must be applying for a grant or fellowship totaling at least $5,000 of support, and the proposed activities must be in direct support of the staff member’s research, teaching, or outreach activities.

**Student Engagement:** In the project summary, the staff member must articulate how they will engage students in the process of writing the external proposal/fellowship as a learning experience and/or state how the proposal would benefit students if funded.

Specifics: Research Staff Writing Incentive Fund (SWI)

- **Maximum Number of Awards:** 3
- **Maximum Amount per award:** $1,500 for research supplies and/or travel to present or conduct research
- **Eligibility:** KU staff engaged in research/instruction (e.g., technicians, research core support staff, curators, innovative teachers, non-tenure research associate professors, museum staff, etc.) as part of their job duties with consent from their supervisors.
- **Application Requirements:**
  - Application Form Part I (Part II is not required for this fund)
  - Curriculum vitae or resume of the PI (maximum 4 pages)
  - Budget plus Budget Justification
  - **Special Requirements:** The staff member must provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted. The staff’s supervisor or chair must approve the course release as designated by her/his signature on the application form. The applicant should also forward a copy of the final grant proposal/fellowship application to the Dean’s Office.
J. Conference / Meeting / Exhibit Sponsorship Fund (CME)

Goal: To support conferences, meetings or exhibits that are hosted on and off the KU campus and that are chaired/featured by College faculty and/or research and instructional staff; this fund also rewards those who are applying for large conference grants

Application Due Date: 11/3/2017 and rolling as needs arise and as funds remain available (Track 1) 11/3/2017 (Track 2)

Fund Description: Track 1 will provide $500-1,000 (depending on size) to help sponsor major meetings, conferences, and exhibits on campus or those that are chaired and/or organized by College faculty/staff at other locations. In the second track, faculty/staff will receive $2,000 in research funds or summer salary for applying to external agencies for large grants to support meetings/conferences/exhibits that total more than $10,000.

NOTE: Humanities and Arts Faculty may also request additional matching funds from the Hall Center for conference funds.

Student Engagement: The conference, meeting, or exhibit must include undergraduate and/or graduate students as presenters and/or participants and this should be articulated in the Project Summary. In addition, faculty/staff should consider the involvement of KU students directly in the conference, meeting, or exhibit (see travel fund for women undergraduate students in STEM below through the WP4 initiative).

Specifics: Conference/Meeting/Exhibit Sponsorship Fund (CME)

Maximum Number of Awards: 5 (track 1); 1 (track 2)

Maximum Amount per award: $500-$1,000 for track 1 (to support meetings/conferences, amount depends on size); $2,000 for track 2 (for research/travel to reward submissions of external grants to support a conference)

Eligibility: The principal investigator (PI) must be tenured or tenure-track faculty or research/instructional staff in the College.

Application Requirements:
- Application Form Part I
- Application Form Part II (required for Track 2 only)
- Curriculum vitae or resume of the PI (maximum 4 pages)
- Budget with Budget Justification for both Tracks

Special Requirements: Please be sure to reference the College at the University of Kansas as a sponsor for the meeting, conference or exhibit on promotional materials (please contact the Dean’s Office if you need assistance). If applying to Track 2, provide the name, agency, due date, grant amount, and other details to identify the grant/fellowship to be submitted in the Project Summary. If applying for a conference grant, please send a copy to the College Office following submission.
K. Dean’s Award for Exceptional Student Mentoring (ESM)

**Goal:** To reward faculty for exemplary student mentoring in research

**Application Due Date:** 11/3/2017

**Fund Description:** This fund is designed to reward faculty for exemplary research productivity and/or student participation in research. This fund will provide one course release and $1,000 in research support for faculty members who have shown major dedication to engaging students (undergraduate and/or graduate) in research with substantial and demonstrated positive outcomes.

**Student Engagement:** Student engagement is inherent to this award.

**Specifics:** Dean’s Award for Exceptional Student Mentoring (ESM)
- **Maximum Number of Awards:** 2 (one from the social/behavioral/natural sciences, and one from the arts/humanities)
- **Maximum Amount per award:** $1,000 to the faculty member for research plus funds to the respective department for a course release for the faculty member (an additional $2,500 in research funds or summer salary can be substituted for the teaching release)
- **Eligibility:** The applicant must be a tenured or tenure-track faculty member in the College.

**Application Requirements:**
- Application Form Part I
- Application Form Part II
- Budget plus Budget Justification
- Curriculum vitae of the PI (maximum 4 pages)
- **Special Requirements:** These awards are self-nominated and should describe the specific student mentoring activities and outcomes in detail in the Project Description. Consultation and approval by the chair on the timing and nature of the course release are required after the award is granted and the chairperson’s signature on the initial application indicates that she/he is aware there is a potential for this award to be granted to the applicant. **The applicant should attach student letters (4 maximum) to their application materials to document their mentoring commitment and capabilities.**
L. Graduate Student and Post-Doctoral Travel Fund (GPT)

**Goal:** To support graduate students and post-doctoral researchers to travel to conferences and meetings to present their work, to visit a research/field site to conduct research, or to visit an expert in the field to learn a new technique/approach

**Application Due Date:** 11/3/2017 and rolling basis as needs arise and as funds are available

**Fund Description:** The graduate student or postdoctoral researcher must present their work through official channels at the meeting/conference or describe how the trip will be beneficial to their research goals by learning new skills or having networking opportunities.

**Student Engagement:** This fund serves to benefit graduate students and post-doctoral researchers by allowing them to travel to present their research or to gain new skills or to conduct work in the field.

**Specifics:** Graduate Student and Post-Doctoral Travel Fund (GPT)

- **Maximum Number of Awards:** 15 (with at least 10 of the 15 reserved for graduate students)
- **Maximum Amount per award:** $500
- **Eligibility:** KU graduate students and postdoctoral researchers (with faculty advisor support)

**Application Requirements:**

- Application Form Part I (Part II is not required for this fund)
- Budget plus Budget Justification
- Curriculum vitae or resume of the PI (maximum 4 pages)
- **Special Requirements:** In the Project Summary, the graduate student or postdoctoral researcher must provide the name of the meeting/conference with information on their presentation and/or the benefits to be gained from the travel, including networking, learning a new skill, or gaining research experience.
M. WP4KU – Women Philanthropists for KU (WP4)

**Goal:** To provide funding for undergraduate women in STEM to attend national meetings and conferences

**Application Due Date:** 11/3/2017

**Award Description:** In 2017-2018 this fund will provide $1000 for undergraduate women in STEM (science, technology, engineering, and math) to attend a national/regional meeting or conference to present their research.

**Student Engagement:** Student engagement is inherent to this award.

**Specifics:** WP4KU – Women Philanthropists for KU (WP4)

- **Maximum Number of Awards:** 4
- **Maximum Amount per award:** $1,000 for travel expenses
- **Eligibility:** Applicants must be undergraduate women in a STEM field

**Application Requirements:**

- Application Form Part I (Part II is not required for this fund)
- Curriculum Vitae or resume of the student (maximum 4 pages)
- Budget plus Budget Justification
- **Special Requirements:** The student travel must occur within the 2017-2018 academic year or summer of 2018. Applicants are encouraged to work with their research faculty mentor(s) to prepare this application. In the Project Summary, the application should describe the meeting to be attended, dates of the meeting, description of the research/creative work to be presented, and the expected benefit from attending. The advisor’s signature on the application form indicates their willingness to help the student prepare her/his presentation for the meeting. The student will be reimbursed for expenses up to $1000 on return from the meeting. Preference will be given to cases where the research faculty mentor is also attending the meeting along with the undergraduate student to assist them in networking.
N. Child Language Doctoral Program Fund (CLD)
Sponsored by Mabel L. Rice

**Goal:** To support faculty, graduate students, and visiting scholars in the Child Language Doctoral Program (CLDP) or CLDP-affiliated faculty for research, student support, and travel expenses

**Application Due Date:** 11/3/2017

**Fund Description:** This fund is restricted to faculty and graduate students in the Child Language Doctoral Program (CLDP) and CLDP-affiliated faculty with appointments in other units officially affiliated with the program. This fund also supports visiting scholars through applications from T-TT faculty who will host these visitors. Uses of the fund are limited to: 1) Research funding, 2) scholarship and/or research support for graduate students in the program, or 3) funding to support a visiting scholar. Examples of proposal requests include: Travel for data collection, attendance at a workshop in methods/analysis, visit to a laboratory, and funding to provide discretionary time of the student or researcher for time to devote to data analysis and/or writing.

**Student Engagement:** See “Student Proposals” in Special Requirements below

**Specifics:** Child Language Doctoral Program Fund (CLD)

- **Maximum Number of Awards:** 1 in each category below
- **Approximate Amount Amounts:** Faculty ($4500); Graduate student ($4500); Visiting Scholar ($1000) (amounts are subject to change)
- **Eligibility:** Graduate students in the Child Language Doctoral Program (CLDP) and faculty appointed into the Child Language Doctoral Program or CLDP-affiliated faculty with appointments in other units officially affiliated with the program

**Application Requirements:**
- Application Form Part I
- Application Form Part II
- Curriculum Vitae or resume of PI (maximum 4 pages) and CV of visiting scholar when relevant
- Budget plus Budget Justification
- Appendix A: Approvals for University Regulations for Research Projects (if relevant)
- **Special Requirements:** The project description should address one of the following:
  - Faculty Proposals – Clearly describe the proposed project or travel, its significance, its alignment with the CLDP goals, and its impact on issues of importance to studies of child language and/or language impairments in children. (If students are involved, please provide a description of the impact on student learning)
  - Graduate Student Proposals – Explain how the funds will be used and how the funds will enhance student research, training, and/or networking
  - Visiting Scholar Fund: Provide the name(s) and affiliation of the proposed visiting scholar(s), the topic, its significance, impact on student training, and its alignment to current topics in child language
0. Humanities Tech Think-Tank Fund (HT³)

**Goal:** To collaborate with a start-up tech company by leveraging our faculty strengths in the humanities to support start-up efforts to develop big data solutions to facilitate student success and career readiness for humanities majors.

**Application Due Date:** 11/3/2017

**Fund Description:** This fund will support the convening of a seven-member think tank at KU that will advise the start-up tech company, AstrumU, on how to develop technology and use big data to best support student career pathways in the humanities. AstrumU is led by a KU alum who majored in English with minors in History and German and who is now a tech entrepreneur with a track record of launching successful tech companies throughout his career. Building on his humanities education at KU, he has a strong commitment to the liberal arts in society and in student career success.

AstrumU has provided the funds for this award to engage humanities faculty in their goal of developing technology/tools that can be used to facilitate the success of undergraduate humanities majors. This includes progression to graduation and in expanding career options and success after graduation.

The faculty commitment will involve meeting with the think tank on campus with the founder of AstrumU for a total of 2-3 times. Please indicate in your Project Summary if you are willing to serve as chair of this group if requested. The chair will oversee the creation and submission of a short advisory report to the College and AstrumU from the group after the last meeting. The majority of faculty commitments will occur during the spring 2018 semester and possibly into the summer months.

**Student Engagement:** The group will be advising a start-up company that seeks to support student career pathways in the humanities, and therefore it is inherently focused on advancing the success of undergraduate students. The group also may invite students to attend a meeting(s) if the group identifies questions and/or issues that would benefit from the student perspective.

**Specifics:** Humanities Corporate Think-Tank Fund (HTT)

- **Maximum Number of Awards:** 7
- **Approximate Amount Amounts:** $1,000 for participants and $1500 for the chair; the chair is responsible for the submission of the final report.

**Eligibility:** Tenured or tenure-track faculty or staff conducting research in the Humanities.

**Application Requirements:**
- Application Form Part I (Part II not required for this fund)
- Curriculum Vitae or resume of PI (maximum 4 pages)
- **Special Requirements:** Applicants should state their commitment to advancing students in the humanities and describe their activities and successes that have been associated with student success, progression, and accomplishments after graduation. In addition, these individuals most show strong evidence in their ability to work in team settings and be committed to working with groups outside of academia to find strategic ways to advance students in the humanities.
IV. Evaluation Procedures

The Research Excellence Fund Review Committee will consist of at least five (5) faculty members, representing divisions in the College of Liberal Arts & Sciences (Natural Sciences & Mathematics, Humanities, School of the Arts, International/Interdisciplinary Studies, and Social & Behavioral Sciences) and at least one additional College staff member. Members of the Review Committee will serve two-year terms and will be provided with a $1000 salary supplement for each year of service ($2,000 total). The associate deans for research will serve as ad-hoc members of the committee. Chairpersons/unit directors are not eligible to serve on the Review Committee nor are faculty who intend to apply for REF funding within the two-year period in which they are serving.

The Associate Deans for research will send out a call for committee nominations to all faculty in the College and select members for the review committee from among the nominations. The Associate Deans will convene the first meeting and the members of the Review Committee will elect a chair at this first meeting.

The REF Review Committee will be charged with evaluating proposals according to the REF guidelines (described below) and making award decisions. The decisions of the Committee are final to the extent that funds are available to meet the outcomes and that diversity among applicants and disciplines is representative of the College. Applicants who do not receive funding or who receive reduced funding can request feedback from the Associate Deans for Research. We reserve the right to alter funding amounts and number of awards to our discretion based on the quality of the applications and all final decision will be made by the Dean.
V. Review Criteria

Please note that these funds are competitive, as we expect a large number of applicants. We will be unable to support all applicants within a given year, and therefore we encourage multiple submissions in cases where an application is not funded in the first round.

When applicable, preference will be given to applications that (not in ranked order):
1. Propose a logical, novel, and well thought out plan for conducting the proposed research activities or creative works
2. Demonstrate previous efforts to obtain external funding over the last three years and/or a detailed plan for obtaining external funding within the next 12-18 months (or a publication(s) within that same time period) when applicable
3. Provide support and training for students by participation in the research activities of the project and/or through development of innovative instruction
4. Show a clear connection to the College’s strategic plan
5. Propose efficient use of funds and/or smaller budgets as appropriate

It is also important to be sure that your completed application includes all necessary materials and information described in the individual descriptions under Application Requirements, including any Special Requirements (information, required letters, etc.).

Detailed information in the timeline can increase the chances for funding and should include targeted funding agencies and deadlines for applications, as well as possible publication outlets and submissions timelines for expected products from this funding (when applicable). Furthermore, a record of applying for external funding on a consistent basis will be viewed positively in the application process (when applicable). These are general criteria and the evaluation of proposals will occur within specific funds and will be based on the critical criteria that is important and relevant for that fund.

The following information provides a guide to be used for evaluating critical components in the Project Summary and/or Project Description, although these criteria can vary greatly by fund type.

1. Significance
   a. What is the importance of the project to the proposer’s discipline or the interdisciplinary endeavor?
   b. Will the project lead to subsequent scholarly publications and/or grant related research activities?
   c. Will this enhance the success of the researcher by providing the opportunity to present research or to have work reviewed that may prompt success in the formal review process?

2. Research / Creative Plan
   a. Are the research objectives clearly stated? An application must be written and designed such that all members of the Review Committee (across disciplines) can read and understand it.
   b. Does the research plan reflect adequate knowledge of current and relevant literature?
   c. Does the research plan describe the research methods adequately?
   d. Does the research plan clearly address the project goals/objectives?
   e. Is there a high probability of successful completion of the proposed objectives within the timeline, budget, and availability of facilities and equipment? Is the project too ambitious to complete the work or under-ambitious as to not have potential for impact?
f. Does the project enhance student participation in research in meaningful ways through training potential, career preparation, and networking? This is a key criteria in all funds, although some must be stated explicitly and others are inherent to the fund itself (see fund descriptions).

3. Qualifications of the Applicant
   a. Is the proposer qualified to complete the proposed research?
   b. Is the applicant recognized as a contributor, expert, or upcoming leader in the field?
   c. Has she/he shown evidence of scholarly capability and productivity?
   d. Has past GRF support and/or other funding produced viable results?
   e. Is the student prepared for a conference scenario through preliminary data or the promise of preliminary data for presentation?

4. Evidence of Scholarly Activity and Achievement
   Applications will be regarded favorably if the applicant has shown a strong record of scholarly productivity and/or success in teaching innovations and/or if the applicant has been successful at or has been attempting to secure external support for research projects if available. It is recognized that outside support is less available in some disciplines than in others, but applicants are encouraged to investigate funding opportunities and to apply for external funding whenever possible.

5. Clarity of Project Objectives
   It is critical that applicants avoid highly technical and complex language and jargon. Such proposals will prove problematic even to the most intelligent of scholars, if they are in a different discipline (and this will be inherent to the review process since a diversity of scholars will be on the review panel). An application must be designed such that all members of the Review Committee (across disciplines) can read and understand it.

6. Additional Review Criteria
   In addition to the major criteria listed above, other specific factors are given consideration in the review process:
   a. Strong consideration will be given to applicants who are early in their professional careers or senior applicants who are developing a new line of research; preference will be given to research and instructional staff who have shown dedication to advancing research and teaching innovations while employed at KU
   b. Proposals for pilot projects, which represent the first step(s) toward larger-scale investigations with the potential for external funding will be regarded favorably. Applicants who submit proposals for pilot projects should state the expected source of the outside funding and the efforts that will be made toward securing such funding in the application materials
   c. Strong consideration will be given to applications who are submitting concurrently with proposals to outside funding agencies for the same project
   d. Completion of projects funded elsewhere. If a project was funded elsewhere and requires modest support for its completion or needs funds to match support already provided by the outside grant, it will be given high consideration, particularly if progress is already great.
   e. Major past and current efforts to incorporate undergraduate students in hands-on research or in networking or professional opportunities will be well received in the review process.
VI. Post-Award Follow-up

Generally, awards will span a one-year period.

Recipients will be required to submit two brief progress reports: one during the year of funding (9 months after the start date) and one after the funding has ended (21 months after the start date) for funds CR1, CR2, DSR, TSU, FIG, and CLD.

Grants through the COR and ESM funds require a one-time progress report at three months after the course release is completed that describes the accomplishments made with the increase in time devoted to research and/or student mentoring.

The FWI, PRE, GWI, SWI, CME, GPT, and WP4 funds require a one-time progress report that describes the results of the grant/fellowship application (funded or rejected) when known with a description of future plans, or a description of the results of the funded conference/meeting, or outcomes of a presentation or research trip (depending on the fund) within three months after completion. The HT^3 will require a final report that is the responsibility of the committee chair.

Signing of the applicant forms indicates that the applicant agrees to submit these progress reports as stated, along with evidence of grant/fellowship submissions and reviews as described in the funds above.